

Chiera Min

State of Arizona

Department of Liquor Licenses and Control 800 W. Washington 5th Floor Phoenix, AZ 85007AZ DLLC (602) 542-5141

SEP 18 2017

DLLC	USE ONLY
Date Proce	essed: 5-17
CSR:	36
60th Day:	11-14-17

APPLICATION FOR AGENT CHANGE - ACQUISITION OF CONTROL - RESTRUCTURE

neck the propriate exes	Agent Change Complete Sections 1,2,3,4,5 & 7	Acquisition Complete Section		Restru Complete Section	341
TION 2	(COMPLETE THIS SECTION FOR AG	ENT CHANGE, ACQUISI	TION OF CONTR		
1. Name:	XISTING AGENT OR NEW AGENT) Last	Michael	JOSE P Middle	V CAO	80000 icense #
. 1	ame:				
532 539	0	ears on Liquor License)		€ #:	**
3. Business N	lame:(Exactly as it app	ears on Liquor License)	Em	iail:	
4. Business Lo	ocation Address:			COUNTY	Zip
E la Ha a Divisia		2.O. Box Number)	City City		KIP
	less located within the incorporated limi				
	usiness location address have a street add				1
	vation? Yes No If Yes, what City, Tov		this Business loca	ted in:	
7. Mailing Ac	ldress:				
			City	State	Zip
9. Does this t submit a c 0. Has there I	ransaction involve the sale of any portice ertified copy of minutes.	Daytime Contaction of the percentage of	ownership or co	rporate stock? 🗸 Yes	
9. Does this t submit a color organization TION 3	ransaction involve the sale of any portice ertified copy of minutes. Deen any change of Controlling Persons on and/or amended operating agreem	Daytime Contacton of the percentage of the perce	ownership or col	rporate stock? Yes ne minutes, amended a	No If yes,
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State of Arizona Department of Liquor Licenses and Control 800 W. Washington 5th Floor Phoenix, AZ 85007 (602) 542-5141 SEP 18 201

DILC USE ONLY

Date Processed:

Q-15-17

CSR:

60th Day:

11-14-17

					
	APPLICATION FOR AGENT CHA	ANGE – ACQUISITI	ION OF CONTROL	- RESTRUCTURE	
NOTE: 1) The fee additional applic SECTION 1	for an agent change MUST be submitted to the cation, not to exceed \$1,000.00. (A.R.S. be submitted w	ed with this applica 4-209.H) NOTE 2) the office this application	ition: \$100.00 for the he \$100.00 fee for ro . (A.R.S. 4-209.A)	e first application a estructure/acquisit	nd \$50.00 for eacl on of control MUS
Check the appropriate boxes	Agent Change Complete Sections 1,2,3,4,5 & 7		on of Control tions 1,2, 3 & 7		tructure tions 1,2,3,6 & 7
ECTION 2	(COMPLETE THIS SECTION FOR AGEN	IT CHANGE, ACQUI	SITION OF CONTRO	L OR RESTRUCTURE)	
1. Name: <u>BA</u> (EX	SHA, MICHAEL JOSEPH - AGENT ISTING AGENT OR NEW AGENT) Last	First	Middle	0908	0003 S
2. Owner Nar	me: BASHAS' INC		Corp File	#: 0044605-4	
3. Business No	ame: 1 Tashas' # 1	rs on Liquor License) I Government of Liquor License)	Ema		oplicable) ,
4. Business Loc	~ / 11	a a a cetto	20/akehava	we CI to M	grave 8640
5. Is the Busine	ess located within the incorporated limits	of the above City o	r Town? <mark>√</mark> Yes∏No		
	siness location address have a street address ration? Yes VNo If Yes, what City, Towr				er City, Town or
7. Mailing Add	dress:				
8 Business Pho	one: 928.854.955C	Daytime Conto	City	State	Zip
submit a ce	ansaction involve the sale of any portion ertified copy of minutes. Deen any change of Controlling Persons? In and/or amended operating agreemer	Yes No if yes			
obtained at th	(COMPLETE THIS SECTION FOR AGENT on listed in section III must submit a question e Department of Liquor. A Controlling Person ntrolling Persons to be disclosed, current First Middle	inaire (form LIC0101) on aiready disclosed t and new.	and a Department ap	proved fingerprint co	a questionnaire.
	EDWARD NAJEEB, III	PRESIDENT		Oil,	
BASHA,	MICHAEL JOSEPH	VP			
2. List stockh New Last	(ATTACI colders, percentage owners and/or Conti First Middle	H ADDITIONAL SHEET(S) II Folling Members own We owned	ning 10% or more	C'h.	4- 71-
TRUST	, middle	16.49	Address	City Sta	te Zip
TRUST	N2 0	12.37			
RISHWA	NN, KAREN SYLVIA	10.31			
NO ON E	ELSE OWNS 10% OR MORE				

(ATTACH ADDITIONAL SHEET(S) IF NECESSARY)

If the ownership is owned by another entity, <u>ATTACH AN OWNERSHIP FLOWCHART SHOWING THE OFFICERS, MEMBERS, CONTROLLING PERSON AND 10% OR MORE OWNERS FOR THE ENTITIES.</u> Attach additional sheets as necessary in order to disclose all persons.

SECTION 4	(COMPLETE THIS	SECTION FOR AGENT CHANGE)		
If you answered YES, you mi	ust provide a copy of your Basic BEFORE YOUR APPLICATION FOR	g the licensed premise? Tyes and Management Training Certific AGENT ACQUISITION OF CONTRO	ate obtained from a D	epartment approved BE SUBMITTED. If you
2. Is there a current Managa Certificate?		sed to the Department with the cu	orrent Basic and Manag	ement Training
Basic Training	✓ Yes No	Management Training	Yes No	
lf "NO" for 1 and 2, a Manag Law training provider must b	ger with a current Basic and Mar be submitted within 30 days after	nagement Training Certificate obta r filing the application for Agent Ch	ined from a Departmer lange, Acquisition of Co	t approved Liquor entrol or Restructure.
SECTION 5 To be completed	(COMPLETE THIS SECT d by the INDIVIDUAL OR EXISTING	ION FOR AGENT CHANGE) G AGENT OR CORPORATE OFFICER	OR L.L.C. CONTROLLING	MEMBER:
1. License #			e g	
2. Current Agent Name (Exactly as It	e: appears on license) Last	First	Middle	⁷ o
l, (Print full name) to immediately assign a convicted of a felony in	new Agent in the event that I c the last five (5) years.	, hereby consent to the appo am unable to discharge the duties	ointment of Agent for th of Agent for this license	is license. I agree . I have not been
X		State of	County of oing instrument was acknowled	
(Controlling Perso	on/Existing Agent)		oing instrument was acknowled	ged before me this
My commission expires on: _		Of Day	Month	Year
			Signature of NOTARY PUBLIC	
Is there more than one license of YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL	(COMPLETE THIS SECTIO ed premises involved? ✓ YES I <u>S</u> must be filed and fees paid for	NO		
If YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL	ed premises involved? 🗹 YES	each license/location. Type of new ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION		
Is there more than one license of YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL	ed premises involved? 🗹 YES	each license/location. Type of new ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP		
Is there more than one license if YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO. MANAGEMENT CO. TRIBE	ed premises involved? 🗹 YES	O each license/location. Type of new ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY COMMANAGEMENT COMMANAG		
Is there more than one license if YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO. MANAGEMENT CO.	ed premises involved? 🗹 YES	NO each license/location. Type of new ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY C MANAGEMENT CC	O. ,	
Is there more than one license if YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO. MANAGEMENT CO. TRIBE TRUST OTHER (Explain) SECTION 7 (COMPLETE	ed premises involved? VES 15 must be filed and fees paid for	PANGE, ACQUISITION OF CONTRO	AOC L OR RESTRUCTURE)	
Is there more than one license if YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO. MANAGEMENT CO. TRIBE TRUST OTHER (Explain) SECTION 7 (COMPLETE	ed premises involved? VES 15 must be filed and fees paid for	Pach license/location. Type of new ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY OF MANAGEMENT COMMANAGEMENT COMMANAGEM	AOC L OR RESTRUCTURE)	hange as listed in
Is there more than one license if YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO. MANAGEMENT CO. TRIBE TRUST OTHER (Explain) SECTION 7 (COMPLETE To be completed by Control Section 2 Question 1.	ed premises involved? YES 15 must be filed and fees paid for 15 must be filed and fees paid for 15 must be filed and fees paid for 16 must be filed and fees paid for 18 must be filed and fees paid for 18 must be filed and fees paid for	PANGE, ACQUISITION OF CONTRO no agent changes) OR NEW Agent	O. AOC L OR RESTRUCTURE) tif applying for Agent c	
Is there more than one license of YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO. MANAGEMENT CO. TRIBE TRUST OTHER (Explain) SECTION 7 (COMPLETE To be completed by Control Section 2 Question 1. I, (Print full name) MICHAE the application and the cor	ed premises involved? YES 15 must be filed and fees paid for 15 this section for Agent Challing Person or existing Agent (if	Partnership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO MANAGEMENT CO TRIBE TRUST OTHER (Explain) ANGE, ACQUISITION OF CONTRO no agent changes) OR NEW Agent hereby declare that I am the APF e, correct and complete.	O. AOC L OR RESTRUCTURE) tif applying for Agent c	ation. I have read
Is there more than one license of YES, SEPARATE APPLICATION Type of current ownership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO. MANAGEMENT CO. TRIBE TRUST OTHER (Explain) SECTION 7 (COMPLETE To be completed by Control Section 2 Question 1. I, (Print full name) MICHAE the application and the cor X (Controlling Pers	ed premises involved? YES IS must be filed and fees paid for THIS SECTION FOR AGENT CHA Illing Person or existing Agent (if EL JOSEPH BASHA Intents and all statements are true	Partnership: J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABILITY CO MANAGEMENT CO TRIBE TRUST OTHER (Explain) ANGE, ACQUISITION OF CONTRO no agent changes) OR NEW Agent hereby declare that I am the APF e, correct and complete.	L OR RESTRUCTURE) If applying for Agent control County of W	ation. I have read

Page 2 of 3 Is requiring ADA accommodations please call (602)542-9027

11/18/2015

BASHAS' INC. OFFICERS

NAME	Edward Najeeb Basha, III	Michael Joseph Basha
TITLE	PRESIDENT CHIEF OPERATING OFFICER	VICE PRESIDENT

STOCKHOLDERS

STOCKHOLDERS	% OF OWNERSHIP
Edward Najeeb Basha Trust - Nadine Kay Mathis, Trustee	16.49
Constance Vitale Trust – Azez Najeeb Basha, Trustee	12.37
Karen Sylvia Rishwain - Stockholder	10.31



Arizona Department of Liquor License and Control 800 W. Washington - 5th Floor Phoenix, Arizona 85007

Dear License Technician:

This communication is in answer to Questionnaire #17 for each Officer of Bashas' Inc. Basha' has not received any violations in the last two years. If you need any further information, please feel free to contact me at the number provided below.

DATE	LICENSE #	STORE LOCATION	VIOLATION
February 5, 1997	09070029	Bashas' #5 3131 E. Indian School Rd, Phoenix, AZ	Sale to Minor
December 10, 1998	09110004	Bashas' #4 300 N. Florence, Casa Grande, AZ	Sale to Minor
December 17, 1998	09120003	Mercado #60 450 Grand Court Plaza Dr. Nogales, AZ	Sale to Minor
December 17, 1999	09071013	Bashas' #43 Fountain Hills, AZ	Sale to Minor
December 21, 1999	09070759	Bashas' #47 Fountain Hills, AZ	Sale to Minor
August 2, 2000	09070254	Bashas' #84 Gilbert, AZ	Sale to Minor

