

**AMENDMENT NO. 5 TO
PROFESSIONAL SERVICES CONTRACT WITH
CLIFTON LARSON ALLEN, LLP
CONTRACT NO. 500140
Professional Auditing Services**

This Amendment No. 5 (“Amendment”) to the Professional Services Contract dated May 1, 2021, referenced above (“Contract”) is effective upon execution by and between Lake Havasu City, Arizona (“City”) and Clifton Larson Allen, LLP (“Contractor”). The parties agree as follows:

1. Exhibit 2, Fee Schedule, of the Contract is amended as follows and as shown on the attached Exhibit 2:

Add Additional Audit of Federal Funds – US Department of Transportation in the amount of \$5,900 for Year 5.

Add Additional Audit of Federal Funds – US Department of Treasury (ARPA) in the amount of \$5,900 for Year 5.

Add Contingency Amount for additional Federal Audits and GASB Statement 101 assistance in the amount of \$7,770 for Year 5.

The 5% Technology Fee for Year 5 shall be increased by \$979. The amount shall be changed from \$3,469 to \$4,448.

The Year 5 total shall be increased by \$20,549. The amount shall be changed from \$72,852 to not to exceed \$93,401.

2. All other terms and conditions of the Contract, Amendment No. 1, Amendment No. 2, Amendment No. 3 and Amendment No. 4 to the Contract not amended or restated by this Amendment shall remain in full force and effect and shall be considered reaffirmed by the execution of this Amendment.

(Signatures on following page.)

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CONTRACTOR DATA AND SIGNATURE

Contractor Address: 20 E. Thomas Road, Suite 2300, Phoenix, Arizona, 85012

Federal Tax ID# or Social Security: 41-0746749

Business Designation (check one): Sole Proprietorship Partnership
 Corporation-for profit Corporation-Non-profit
 Other [describe here: _____]

Federal tax ID numbers or Social Security numbers are required pursuant to A.R.S. § 42-1105 and will be used for the administration of state, federal and local laws. Payment information will be reported to the Internal Revenue Service under the name and Federal tax ID number or, if none, the Social Security number provided above.

I read, understand, and agree to be bound by the terms of this Amendment.

Signature Title

Name (please print) Date

LAKE HAVASU CITY SIGNATURE

(This contract is not binding on the City until signed by the City Manager or designee)

City Manager or Designee Date

City Attorney Approval and Review

Reviewed:

CITY ATTORNEY
FOR LAKE HAVASU CITY, ARIZONA

By: _____
City Attorney Date

**EXHIBIT 2, AMENDMENT 5
LAKE HAVASU CITY PROFESSIONAL SERVICES CONTRACT
CONTRACT NO.: 500140
FEE SCHEDULE**

Financial Auditing Services

Service	Year 1	Year 2	Year 3	Year 4	Year 5
Fiscal Year End Audit	\$56,225	\$57,553	\$58,914	\$60,307	\$61,733
Fiscal Year End Audit of Federal Funds, if applicable	\$5,500	\$5,600	\$5,700	\$5,800	\$5,900
Additional Audit Reports - HURF	\$750	\$750	\$750	\$750	\$750
Additional Audit of Federal Funds – FD SAFER Grant	N/A	N/A	N/A	\$5,800	N/A
Additional Audit of Federal Funds – PD DOJ Grant	N/A	N/A	N/A	\$5,800	N/A
Additional Audit of Federal Funds – US Department of Transportation	N/A	N/A	N/A	N/A	\$5,900
Additional Audit of Federal Funds – US Department of Treasury (ARPA)	N/A	N/A	N/A	N/A	\$5,900
Annual Expenditure Limitation (AELR) Report	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000
Lake Havasu City Municipal Court	\$4,500	N/A	N/A	\$4,750	N/A
National Transit Database Financial Data Schedule Audit	N/A	\$7,200	N/A	N/A	N/A
GASB Statement 87 Fees	N/A	\$9,840	N/A	N/A	N/A
GASB Statement 96 Fees	N/A	N/A	\$5,045	N/A	N/A
Contingency Amount for additional Federal Audits and GASB Statement 101 assistance	N/A	N/A	N/A	N/A	\$7,770
SAS 143-145	N/A	N/A	N/A	\$8,555	N/A
5% Technology Fee	\$3,399	\$4,098	\$3,571	\$4,638	\$4,448
Not to Exceed Total***	\$71,374	\$86,041	\$74,980	\$97,400	\$93,401

Year 1: Supporting Schedule of Professional Fees and Expenses

Category	Hours	Hourly Rates	Totals
Partner	70	\$185	\$12,950
Manager	95	\$155	\$14,725
Supervisory Staff	125	\$120	\$15,000
Staff	200	\$100	\$20,000
Other (specify)	10	\$80	\$800
5% Technology Fee			\$3,399
Court AUP	25	\$180	\$4,500
Total			\$71,374

Out-of-pocket expenses

Contractor will not charge the City for any out-of-pocket expenses for travel.

Rates for additional professional services

For other services that Contractor offers such as IT security testing, planning or preparation, or other services those fees would be negotiated independently with the City based on the scope and size of the project.